JC10 Rec'd PCT/PTO 25 MAR

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/LIS)

ATTORNEY'S DOCKET NUMBER 1843.0200001/EKS/AJK

| СО | DISIGNATED/ELECTED OFFICE (DO/E0/05) DINCERNING A SUBMISSION UNDER 35 U.S.C. 371 | U.S. APPLINA IDNN F (IF Drom 300 77 4FR 1.5) To be assigned | | | | | | | |
|---|---|---|--|--|--|--|--|--|--|
| INTERN | NATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US2003/030238 26 September 2003 | PRIORITY DATE CLAIMED 27 September 2002 | | | | | | | |
| TITLE OF INVENTION Targeted CD1d Molecules | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Bruno ROBERT, Alena DONDA, Valerie CESSON, Jean-Pierre MACH, and Maurice ZAUDERER | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. X | X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. X | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. X | The US has been elected (Article 31). | | | | | | | | |
| 5. X | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | |
| | c. X is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | |
| | a. is attached hereto. | | | | | | | | |
| _ | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. X | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| - | b. have been communicated by the International Bureau. | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | d. X have not been made and will not be made. | | | | | | | | |
| 8. 🔲 | An English language translation of the amendments to the claims under PCT Arti | icle 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Ex Article 36 (35 U.S.C. 371(c)(5)). | xamination Report under PCT | | | | | | | |
| Item | ns 11 to 20 below concern document(s) or information included: | | | | | | | | |
| 11. | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. | An assignment document for recording. A separate cover sheet in compliance wit | h 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | A preliminary amendment. | | | | | | | | |
| 14. X | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. 📙 | A substitute specification. | • | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 1 | 3ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(| 4). | | | | | | | |
| 19. | A second copy of the English language translation of the international application | under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | Other items or information: | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

JCCE Rec'd PCT/PTO 2.5 MAR 2005 PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICAT | S. APPLICATION NO. (if known see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | | | | | | |
|--|--|---------------------|--|-------------------------------------|------------------------|---------------------|--|--|--|
| | To be assign | 年「「「 | PCT/US200 | 3/030238 | 1843.0200001/EKS/AJK | | | | |
| The foll | lowing fees have b | een submitted | | | CALCULATIONS | PTO USE ONLY | | | |
| 21. 🛛 Basi | ic national fee | ••••• | •••••• | \$300 | \$ 150.00 | | | | |
| If International p PCT Article 3 | mination fee oreliminary examin 3(1)-(4) | \$ 50.00 | | | | | | | |
| 23. X Sea Search fee (37 C Internatio International Sea | arch fee CFR 1.445(a)(2)) h onal Searching Aut arch Report prepar ns | \$ 50.00 | | | | | | | |
| | TOTAL OF 21, 22 | 2 and 23 = | | | \$ 250.00 | | | | |
| ☐ sequence lis | ee for specification sting or computer 3250 for each addit | | | | | | | | |
| Total Sheets | Extra Sheets | | h additional 50 or fraction up to a whole number) | RATE | | | | | |
| - 100 = | /50 = | : | | x \$250 | \$ | | | | |
| | 30.00 for furnishing date (37 CFR 1.492 | | aration later than 30 months fr | | \$ | | | | |
| CLAIMS | NUMB | BER FILED | NUMBER EXTRA | RATE | \$ | | | | |
| Total claims | | - 20 = | | × \$50 | \$ | | | | |
| Independent clair | ms | - 3 = | | x \$200 | \$ | | | | |
| MULTIPLE DEPE | ENDENT CLAIM(S | 3) (if applicable) | | + \$360 | \$ | | | | |
| | | | TOTAL OF ABOVE | CALCULATIONS = | \$ 250.00 | | | | |
| X Applicant cla | ims small entity st | atus. See 37 CFR | R 1.27. Fees above are reduc | ed by ½. | | | | | |
| | | | | SUBTOTAL = | \$ 250.00 | | | | |
| | f \$130.00 for furnis date (37 CFR 1.492 | | translation later than 30 mont | hs from the earliest + | \$ | | | | |
| | | | TOTAL | NATIONAL FEE = | \$ 250.00 | | | | |
| | | | 1.21(h)). The assignment mus | st be accompanied + | \$ | | | | |
| | | | TOTAL F | EES ENCLOSED = | \$ 250.00 | | | | |
| | | | Amount to be refunded: | \$ | | | | | |
| | | | | | Amount to be charged: | \$ | | | |
| a. A check | k in the amount of | S | to cover the abo | ve fees is enclosed. | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No19-0036 . A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. X Fees an | e to be charged to | a credit card. WA | ARNING: Information on this for | orm may become publion on PTO-2038. | olic. Credit card info | ormation should not | | | |
| be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| _ | RESPONDENCE 1 | ren Askar | n/aa/ | | | | | | |
| CUSTOME | R NUMBER | | - /- | | | | | | |
| Sterne, Kess | ler, Goldstein | ndrea Jo Kama | ge | | | | | | |
| NAME | | | | | | | | | |
| | | 43,703 ON NUMBER | | | | | | | |
| | | | | MEGIO I MATIO | NA MONIDELY | | | | |

10/5292**21** ICO6 Rec'd PCT/PTO 25 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROBERT et al.

Appl. No.: To be assigned (U.S. Nat'l Phase of

PCT/US2003/030238)

Filed: Herewith (I.A. Filing Date: September

26, 2003)

For: Targeted CD1d Molecules

Confirmation No.: To be assigned

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 1843.0200001/EKS/AJK

Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

10 Kamage

Andrea Jo Kamage

Attorney for Applicants Registration No. 43,703

Date: March 25, 2005

1100 New York Avenue, N.W. Washington, D.C. 20005-3934

(202) 371-2600